

Personal Data Disclosure Notice

1. Matters regarding personal data collection, processing and use thereof
Cathay Securities Investment Trust Co., Ltd. (hereinafter referred to as “the Company”) hereby informs you of the following pursuant to Section 1, Article 8 of the Personal Data Protection Act (hereinafter referred to as “PDPA”). Please read carefully.
 - (1) The purposes of the collection:
 - (a) The business permitted by the competent authority and business specified in Business Registration or listed in the Articles of Incorporation, including Securities (futures) investment trusts, offshore funds, discretionary investment business, securities investment consulting and other related financial services.
 - (b) Other business activities approved by the competent authority, risk management, audit, personnel administration, marketing activities related to business operations, investment management, customer service, customer management, asset management, reconciliation with distribution agencies, other financial business operations, other consulting and advisory services, or other purposes concerning the interests of the beneficiaries.
 - (c) The collection, processing and use of personal data pursuant to applicable laws and regulations and the requirements of the financial supervision, in performing legal obligations, contractual or quasi-contractual or other legal relationship matters.
 - (d) The delegation of foreign politically exposed persons screening pursuant to anti-money laundering requirement to other subsidiaries of the Company's parent financial holding company.
 - (2) The categories of collected personal data:
Data listed in various application forms or contracts, including but not limited to name, ID number, contact details, account content, transaction history or other information relating to company business.
 - (3) Time period, territory, recipients and methods of the use of your personal data:
 - (a) Time period: the period of the specific purpose for which the personal data is collected/the retention period required by applicable laws or contract (such as “Business Entity Accounting Act”, etc.)/the retention period necessary for the Company to carry out the business.
 - (b) Territory: Taiwan, and the locations of the overseas branches of the Company, the recipients of the international personal data transmission not restricted by the competent authority, outsourcing service providers designated by the Company, or business partners of the Company.
 - (c) Recipients: The Company, the Company's overseas branches, the recipients of the international personal data transmission not restricted by the competent authority, the parent financial holding company of the Company and its affiliates authorized to jointly use client data, marketing agents which the Company cooperates with, fund administration outsourcing service providers, other organizations the Company has business relationship with, or authorities entitled to investigation or financial supervision.
 - (d) Methods: by automatic machines or other non-automatic methods.
 - (4) In accordance with the provisions of Article 3 of the PDPA, you may exercise the following rights regarding your personal data held by the Company:
 - (a) You may inquire, request to review or request for a duplicate copy of your personal data, provided that the Company may charge necessary costs and expenses thereof.
 - (b) You may request to supplement or correct your personal data, provided that you provide appropriate rationale thereof.
 - (c) You may demand the cessation of the collection, processing or use of your personal data and demand to delete the collected personal data. Nevertheless, the Company reserves the right to refuse such request when the collected personal data is necessary for the Company to conduct its business pursuant to applicable laws.
 - (5) Consequences of failure to provide personal data:
You may choose whether or not to provide relevant personal data; however, any failure to provide relevant personal data may impact the services provided by the Company due to insufficient due diligence and necessary processing.
2. **Joint collection, processing or use of personal data among subsidiaries of the parent financial holding company**
The Company may jointly collect, process or use your name and address (including postal address and e-mail address) with "Cathay Life Insurance Company", "Cathay United Bank Company", "Cathay Century Insurance Company", and "Cathay Securities Corporation". Your other personal data or information with respect to transaction history, etc. will be handled pursuant to applicable rules under PDPA.

In the event of any changes to your data, you may request the Company or the aforementioned companies at any time for an update. You may also notify the Company or the aforementioned companies to stop jointly collecting, processing and using your data (the Company's Customer Service Hotline: +886-2-7713-3000).