



國泰投信

Cathay Securities Investment Trust

Statement of Compliance with "Stewardship Code for Institutional Investors"

Cathay Securities Investment Trust Co., Ltd. (Cathay SITE) is an asset manager which manages the assets and makes investment decisions on behalf of clients and primarily engages in businesses including 1) Securities Investment Trust Business and 2) Discretionary Investment Management Business, 3) Securities Investment Consulting Business, 4) Futures Trust Business, 5) Other Business Approved by the Competent Authority.

Cathay SITE has always been adhering to prudence and pragmatism. With a closer relationship between business, society, and environment, Cathay SITE recognized the most important corporate social responsibility of a financial institution is to properly manage the funds and exercise a significant influence on the market and the investee companies. To this end, Cathay SITE follows the "Responsible Investment and Lending Policy" set up by Cathay Financial Holding in December 2015. As a responsible institutional investor, Cathay SITE is dedicated to reducing environmental, social, corporate governance (ESG) related risks and ultimately enhancing the interests of the company's shareholders, creating a multi-win situation.

Cathay SITE also believes that institutional investors' commitment to stewardship has become an international trend, and thus declares compliance with the "Stewardship Code for Institutional Investors" so as to enhance the long-term value of our clients' investments and to promote sustainable business development. Cathay SITE will report its approach to comply with the six principles within six months of the signing of the statement and may disclose and update its stewardship activities on the institutional investor stewardship disclosure webpage and the annual stewardship report.

The signatory is Cathay Securities Investment Trust Co. Ltd

Signed on July 25, 2016

Revised on January 7, 2021

Revised on May 28, 2021